





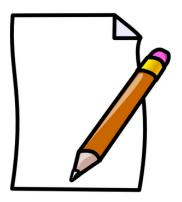
قراءة , كتابة , الحساب

- □ "Three R's" -- Reading, w'Riting, and a'Rithmetic
 - Underlay much of human intellectual activity
 - Venerable foundation of computing technology
- With networking, communication became a major activity
 - Email electronic counterpart of postal service
- Yet, it is natural to deal with reading, writing, and computing
 - A web browser app may load (i.e., read) a page, perform computation, and save (i.e., write) the results
 - In distributed databases we retrieve and store data, and rarely talk about sending and receiving data
- Arguably, it is also easier to develop distributed algorithms with readable/writeable objects, than to use message passing



Sharing Memory in a Networked System

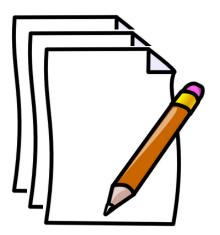
- Let's place a shareable object at a node in a network
 - Not fault-tolerant single point of failure
 - Not efficient performance bottleneck
 - Not very available, does not provide longevity, etc...





Sharing Memory in a Networked System

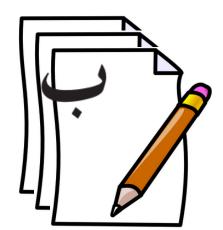
■ So we replicate – we'd have to anyway, since redundancy is the only means for providing fault-tolerance





Sharing Memory in a Networked System

- With replication come challenges:
 - How to preserve consistency while managing replicas?
 - What kind of consistency?
 - How to provide it?
 - How to use it?





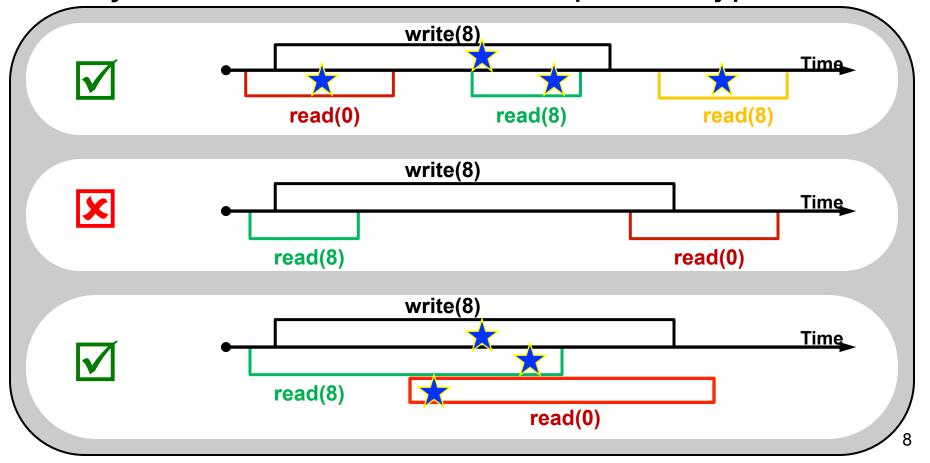
Consistency

- Easiest for users: a single copy view
 - Sequence of operations; a read sees the previous write
 - Atomicity [Lamport] or linearizability [Herlihy Wing]
 - Not cheap to implement even without general updates
- □ Cheapest to implement: a *read* sees a subset of prior *writes*
 - Not the most natural semantic for the users
- Additional complications in dynamic systems
 - Ever-changing sets of replicas and participants
 - Crashes never stop, timing variations persist
 - Evolving topology
 - Ultimately mobility



Atomicity / Linearizability [Lamport / Herlihy Wing]

"Shrink" the interval of each operation to a serialization point so that the behavior of the object is consistent with its sequential type

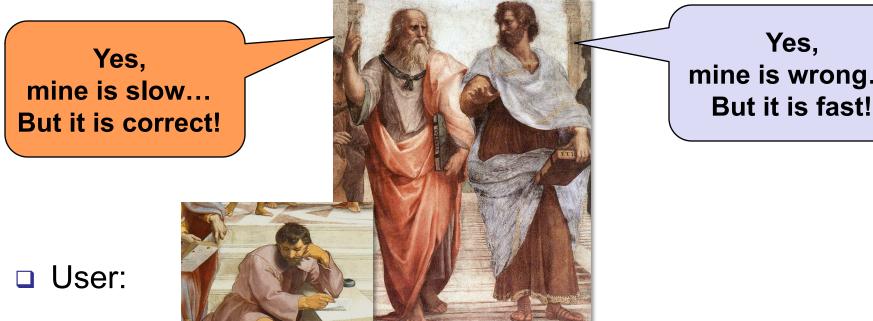




Consistency Polemics

- Distributed theory focus
 - Fault-tolerance
 - Consistency

- Parallel/Distrib. architectures
 - Performance
 - Speed-up



mine is wrong...

Can't they get along?



Using Majorities/Quorums for Consistency

- Consistency of replicated data: using intersecting sets
 - Starting with Gifford (79) and Thomas (79)
 - Upfal and Wigderson (85)
 - Majority sets of readers and writers emulate shared memory in a synchronous distributed setting
 - Vitanyi and Awerbuch (86)
 - MW/MR registers using matrices of SW/SR registers where rows and columns are read and written
 - Attiya, Bar-Noy, and Dolev (91/95, 2011 Dijkstra Prize)
 - Atomic SW/MR objects in message passing systems, majorities of processors, minority may crash
 - Two-phase protocol (ABD)



Related Other Approaches

- Using specialized communication primitives [Imbs, Mostéfaoui, Perrin, Raynal - NETYS 2017]
 - Set constrained delivery broadcast
 - Leading to a snapshot implementation
 - Ultimately atomic read/write objects
- Using consensus to agree on each operation [Lamport]
 - Performance overhead for each reads and write op
 - Termination of operations depends on consensus
- □ Use *group communication* service [Birman 87] with TO bcast [Amir, Dolev, Melliar-Smith, Moser 94], [Keidar, Dolev 96]
 - View change delays reads/writes
 - One change may trigger view formation



Quorum Systems and Examples

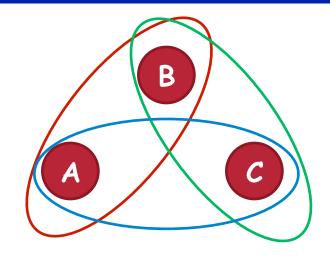
Quorum system Q over **P**, a set of processor ids:

$$\mathbf{Q} = \{Q_1, Q_2, \dots\}$$

- $Q_i \subseteq P$
- $Q_i \cap Q_i \neq \emptyset$ for all i, j

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

Matrix Quorums:
Processor ids arranged in a matrix.
A quorum: Row ∪ Column



Majorities [Thomas 79, Gifford 79]

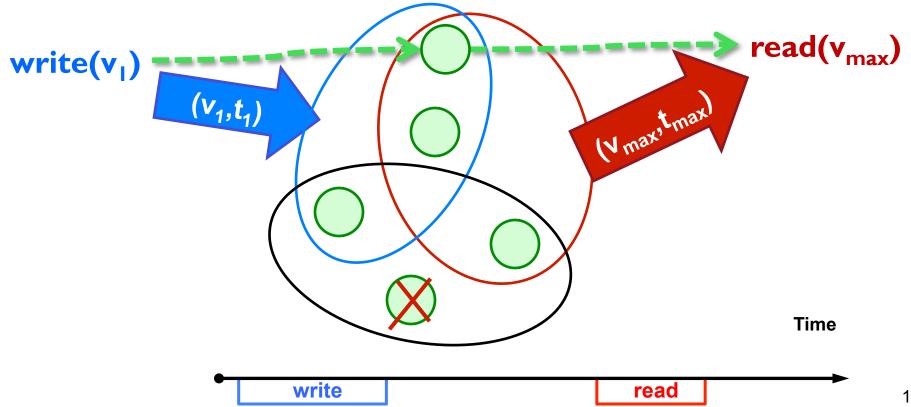
Lemma:

The *join* of quorum system $\mathbf{Q_a}$ over $\mathbf{P_a}$ and system $\mathbf{Q_b}$ over $\mathbf{P_b}$, $\mathbf{Q_a} \bowtie \mathbf{Q_b}$, is a quorum system over $\mathbf{P_a} \cup \mathbf{P_b}$.



Main Idea: Timestamps (logical) and Quorums

- An object is represented by a pair (value, timestamp)
- A write records (new-value, new-timestamp) in a quorum
- A read obtains (value, timestamp) pairs from a quorum, then returns the value with the largest timestamp

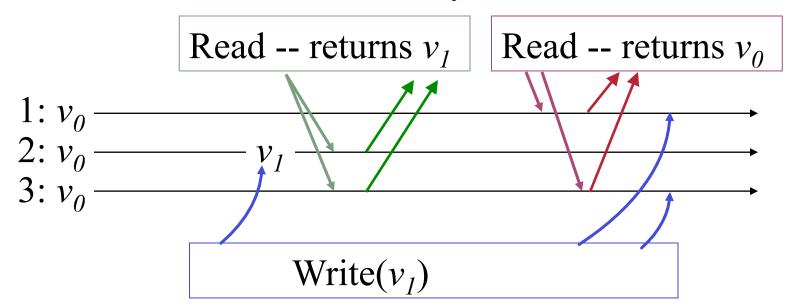


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يجب على القارئ الكتابة

If operations are concurrent and a reader simply returns the latest value, then atomicity can be violated:



■ Solution: "Readers must write": If readers first help the writer to record the value in a quorum, then it is safe to return the latest value



The Read Algorithm [ABD]

 $read_i(v : output)$

Get: Broadcast $\langle get, i \rangle$ to all replica hosts. Await responses $\langle get\text{-}ack, val, tag \rangle$ from some majority of replicas. Let v be the value that corresponds to the maximum tag maxtag received.

Put: Broadcast $\langle put, v, maxtag, i \rangle$ to all replica hosts. Await responses $\langle put\text{-}ack, v, maxtag \rangle$ from some majority of replicas.



Lastly: "Riders... uhm... Writers Must Read"



I assume you're being facetious, Professor, I distinctly yelled 'second' before you did!

Writers must "read"
before writing (and riding)
to obtain the latest
timestamp in order to
compute a new timestamp



The Complete Algorithm [ABD, as in LS'97]

 $read_i(v : output)$

Get: Broadcast $\langle qet, i \rangle$ to all replica hosts. Await responses $\langle qet\text{-}ack, val, tag \rangle$ from some majority of replicas. Let v be the value that corresponds to the maximum tag maxtag received.

Await responses $\langle put\text{-}ack, v, maxtag \rangle$ from some majority of replicas.

 $write_i(v:input)$

Get: Broadcast $\langle get, i \rangle$ to all replica hosts. Await responses $\langle qet-ack, val, tag \rangle$ from some majority of replicas. Let $maxtag = \langle seq, pid \rangle$ be the maximim tag received. Set $newtag = \langle seq + 1, i \rangle$.

Put: Broadcast $\langle put, v, maxtag, i \rangle$ to all replica hosts. | Put: Broadcast $\langle put, v, newtag, i \rangle$ to all replica hosts. Await responses $\langle put\text{-}ack, v, newtag \rangle$ from some majority of replicas.

- Read and write uses identical two-phase communication patterns:
- **Get phase**: query and obtain values from a majority (quorum),
- Put phase: propagate values to a majority (quorum).
- The only difference is in what is sent out in the Put phase.

```
Upon receive(\langle get, j \rangle) at i
           Send \langle get\text{-}ack, value_i, tag_i \rangle to j.
```

Upon $receive(\langle put, v, t, j \rangle)$ at i If $t > tag_i$ then Set $value_i$ to v and tag_i to t. Send $\langle put\text{-}ack, v, t \rangle$ to j.

- Replica hosts respond to Get and Put requests
- Any minority may crash



Latency of Atomic Reads and Writes

- Network latency is key in assessing efficiency
 - Let d be the max latency (unknown to the algorithm)
 - 1 message exchange incurs delay d
 - 1 round-trip exchange = 2 message exchanges = 2 d
- Single-Writer/Multiple Readers (SWMR)
 - Read latency = 4*d* : 2 round-trips = 4 exchanges
 - Write latency = 2**d** : 1 round-trip = 2 exchanges
- Multiple-Writers/Multiple Readers(MWMR)
 - Read latency = 4*d* : 2 round-trips = 4 exchanges
 - Write latency = 4d : 2 round-trips = 4 exchanges
- □ Can we have 2-exchange reads?



SWMR: Reads and Writes with 2d Latency

- Conditions for enabling *fast* operations -- latency 2*d*
 - [Dutta, Guerraoui, Levi, Chakraborty 2004]
- SWMR atomic registers
 - Both reads and writes take 2 exchanges
 - The maximum number of readers R must be constrained wrt to the number of replica servers S, and the number of server crashes F: R < (S/F) 2</p>
 - Again, exploiting intersection properties
- Impossibility result for MWMR
 - Fast implementations are impossible when F≥ 1



MWMR: Can some Reads have Latency 2d?

- □ It is possible for reads to terminate early, in 2 exchanges
 - [Dolev, Gilbert, Lynch, S., Welch 2005]
- If after first phase there is a majority of servers reporting the same latest tag (timestamp)
 - Then second phase is unnecessary
- More generally: Maintain a set of confirmed tags
 - Gossip in the background, or piggyback to messages
 - If a tag is confirmed, then second phase is not needed
- Can one examine the properties of the set of responses and establish conditions under which operations can be fast, i.e., taking 2 exchanges?



"Semifast" Implementations [Georgiou, Nicolaou, S. 06, 09]

- Atomic SWMR memory with unbounded number of readers
 - Group multiple writers into "virtual nodes"
 - Examine the properties of collected server responses
- Results
 - Writes are fast: 2 exchanges (1 round), with latency 2d
 - Reads perform 2 or 4 exchanges (1 or 2 rounds), with latency 2d or 4d
 - Only a single complete slow read per write operation
 - Any read that precedes or succeeds the slow read and returns the same value is fast
 - There exists an execution with only fast operations
 - Holds for F < S/3



"Weak Semi-Fast" Implementations

- □ Theorem: [GNS09] It is not possible to devise a MWMR semi-fast implementation even with W=2, R=2 and F=1.
- Define Weak Semi-Fast property
 - Allows multiple slow latency 4*d* reads per write
- Introduce SSO: Server Side Ordering [GNS 2011]
 - Tag is incremented by the servers and not by the writer.
 - Generated tags may be different across servers
 - Clients decide operation ordering based on server responses
- Use algorithms with *n-wise* quorums
 - Any *n* quorums have non-empty intersection



"Weak Semi-Fast" Algorithm [GMS11]

- Write: Send v and gather candidate tags from a quorum
 - Exists tag t in > (n/2)--wise intersection
 - YES assign t to the written value and return FAST: 2d
 - NO propagate unique largest tag to a quorum SLOW: 4d
- Read: Collect list of writes and tags from a quorum
 - Exists max tag t in >(n/2)--wise intersection
 - YES return the value written by that write FAST: 2d
 - NO propagate largest confirmed tag to a quorum SLOW: 4d
- Simulations show that savings can be substantial
 - Only 15% slow operations in some scenarios



What about Operations with 3 exchanges? [Hadjistasi, Nicolaou, S. -- NETYS'2017]

- Oh-RAM! "One and a half Round Atomic Memory"
- Protocol idea to obtain operations with latency 3d
 - 1st exchange: operation invoker contacts servers
 - 2nd exchange: servers gossip
 - 3rd exchange: servers respond to the invoker
- Impossibility of 3 exchange MWMR memory [TNS'17]
 - No atomic implementations exist where all operations use 3 exchanges, even with a single server crash
- Our algorithms

Model	Read Exch	Write Exch	Read Comm	Write Comm
SWMR	2 or 3	2	S ² + 3S	2 S
MWMR	2 or 3	4	S ² + 3S	4 S



Dynamic Atomic Memory

- Goal: Atomic Objects in Dynamic Settings
- "Dynamic" encompasses
 - Changing sets of participants: nodes come and go as they please
 - Wide range of failures
 - Asynchrony, timing variations
 - Crashes, message loss, weak delivery guarantees
 - Changes in network topology
 - Processor mobility
- Our solution: RAMBO
 - Reconfigurable Atomic Memory for Basic Objects [Lynch Schwarzmann]



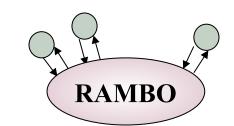
RAMBO: Approach

- Objects are replicated at several network locations
- To accommodate small, transient changes:
 - Use quorum configurations: members, quorums
 - Maintains atomicity during "normal operation"
 - Allows concurrency
- To handle larger, more permanent changes:
 - Reconfigure: emit and use new configurations
 - Use consensus to impose total order (Paxos)
 - Maintains atomicity across configuration changes
 - Any configuration can be installed at any time
 - Reconfigure concurrently with reads/writes -operations do not depend on view change completion

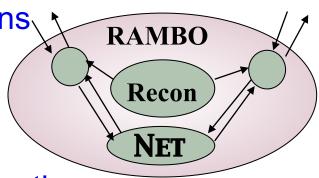


Reconfigurable Atomic Memory for Basic Objects

- Global service specification
- Implementation:Main algorithm + "recon" service



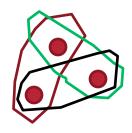
- Recon service:
 - "Advance reconnaissance"
 - Consistent sequence of configurations
 - Loosely coupled
- Main algorithm:
 - Reading, writing
 - Receives, disseminates new configuration information; no explicit installation
 - Reconfigures: upgrade to new and remove old
 - Reads/writes may use several configurations



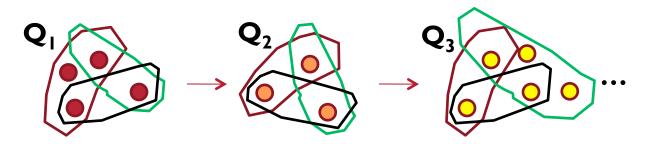


Configurations and Reconfiguration

- Configuration: quorum system
 - Collection of subsets of replica host ids where any two subsets intersect



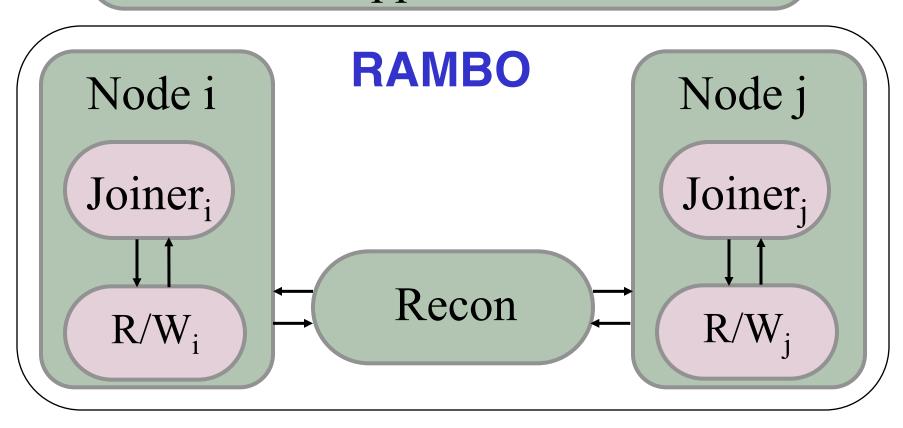
- (Alternatively: read- and write-quorums, where any read-quorum intersects any write-quorum)
- Reconfiguration process involves two decoupled steps
 - Recon: Emit a new configuration; then later...
 - Garbage-collect obsolete configurations locally and "upgrade" to the latest known configuration
 - No constraints on memberships of quorum systems





Architectural View

Application



Communication Network



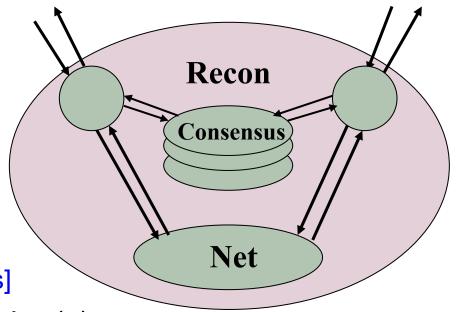
High-Level Functions

- Joiner
 - Introduces new participants to the system
- Reader-Writer
 - Routine read and write operations
 - Two-phase algorithm using all "known" configurations
 - Using tags to time-stamp (and order) written values
- Recon
 - Chooses new next configuration, e.g., using Paxos
 - Informs the members of the current configuration
- Configuration upgrade ("packaged" with Reader-Writer)
 - Identify and remove obsolete configurations (garbage collection)



Implementation of Recon

- Uses consensus to determine new configurations 1,2,3,...
 - Note: when the universe of configurations is finite and known, then consensus is *not* needed even with unbounded reconfiguration [GeoQuorums]

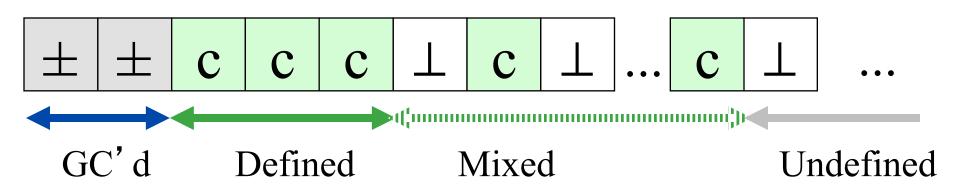


- Members of existing configuration(s)
 may propose a new configuration
- Proposals reconciled using consensus
- Consensus is a fairly heavy mechanism, but it is
 - Used only for reconfigurations, which are infrequent
 - Does not block or abort Read and Write operations



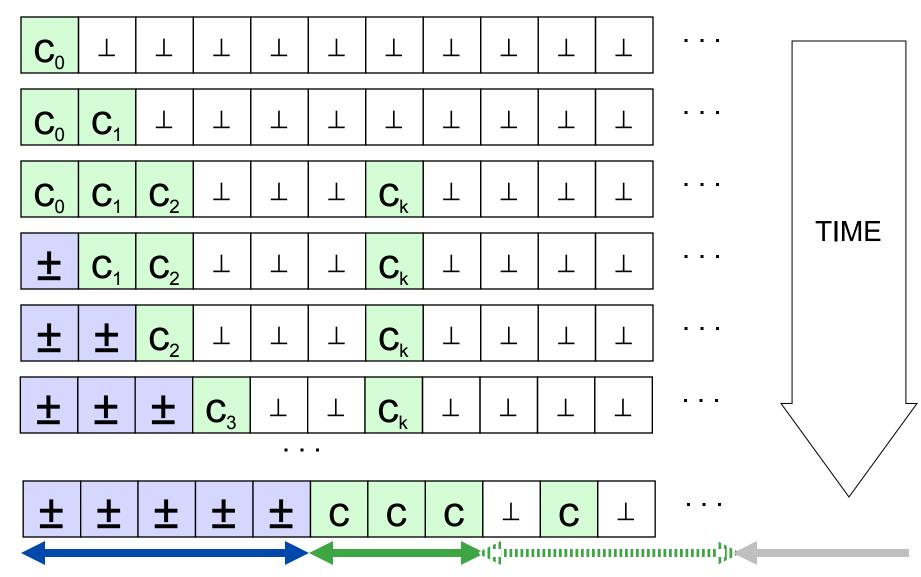
Configurations and Config Maps

- Configuration c
 - members(c) -- set of members of configuration c
 - read-quorums(c), write-quorums(c) -- sets of quorums
- Configuration map cm
 - mapping from naturals to configurations
 - lacktriangledown cm(k) is configuration k
 - Can be defined (c), undefined (⊥), garbage-collected (±)





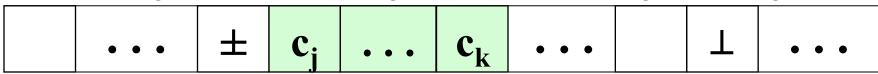
Configuration Map Changes (Local View)





Configuration Upgrade [Gilbert, Lynch, S 10]

Reconfigure to last configuration in a contiguous segment



- Phase 1:
 - lacksquare Informs write-quorum of $oldsymbol{c_i}$... $oldsymbol{c_{k-1}}$ about $oldsymbol{c_k}$
 - \blacksquare Collects (value,tag) from read-quorums of c_j ... $c_{k\text{-}1}$
- □ Phase 2:
 - Propagates latest (value, tag) to a write-quorum of c_k
 - Garbage-collect: Set cmap(j...k-1) to ±
- Constant-time upgrade regardless of the number of obsolete configurations (conditioned on failures)
- Maintains good read/write latency during system instability or frequent reconfigurations



On to Reads and Writes: Values and Tags

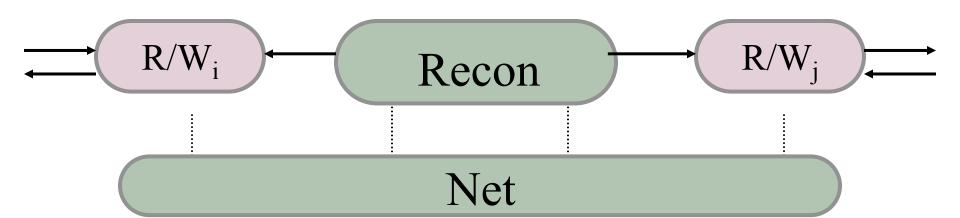
- □ Each value v has an associated tag t (logical timestamp)
 - Tag is made up of the sequence-processor pair
- Reads:
 - a set of value-tag pairs is obtained
 - the result is the value with the maximum tag
- Writes:
 - a set of value-tag pairs is obtained
 - new-value is propagated with a new-tag that is a lexicographic increment of tag:

```
new-tag := \langle tag.seq + 1, pid \rangle
```



Dynamic Reader-Writer and Recon

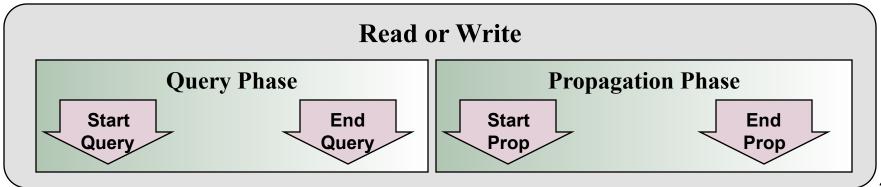
- The work is split between Reader-Writer and Recon
- Recon emits consistent configurations
- Reader-Writer processes run two-phase quorum-based algorithm, with all "active" configurations
- Background "gossip" builds fixed-points
- If Recon emits new configuration, Reader-Writer continues reads/writes in progress, until fixed-point is reached





Processing Reads and Writes

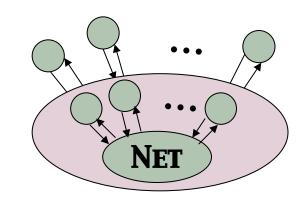
- □ Reads and Writes perform Query and Propagation phases using known configurations, stored in op.cmap.
 - Query: Gets latest value, tag, and cmap information from read-quorums
 - Propagation: Gives latest (value,tag) to write-quorums
 - Both phases: Extend op.cmap with newly-discovered configurations that now must also be involved.
- Each phase ends with a **fixed point**, involving all the configurations currently in *op.cmap*





Methodology

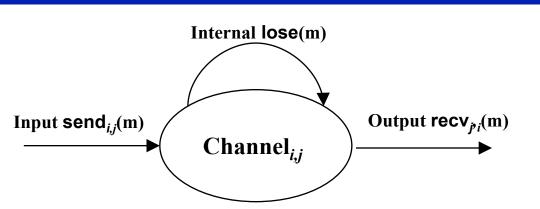
- Algorithms are presented formally, using interacting state machine models: Input/Output automata
 - service specifications
 - algorithm descriptions
 - models for applications
- Safety: rigorous proof of correctness (atomicity) for arbitrary patterns of asynchrony and change
- Conditional performance analysis
 - E.g., when message latency ≤ d, quorum configurations are "viable", then read and write operations take time between 4d and 8d, under reasonable "steady-state" assumptions.





Example Spec: Asynchronous Lossy Channel

- Input Output Automata [Lynch & Tuttle]
- Supports: composition, abstraction, rigorous reasoning
- 100's algorithms



Domains:

I, a set of processes, M, a set of messages

States:

 $S \subseteq M$, the set of messages in the channel

Signature:

Input: send $(m)_{i,j}, m \in M$, const $i, j \in I$

Output: $recv(m)_{i,i}, m \in M, const i, j \in I$

Internal: $lose(m), m \in M$

Transitions:

Input $send(m)_{i,j}$

Effect:

$$S \leftarrow S \cup \{m\}$$

Output $recv(m)_{i,i}$

Precondition:

$$m \in S$$

Effect:

$$S \leftarrow S - \{m\}$$

Internal lose(m)

Precondition:

$$m \in S$$

Effect:

$$S \leftarrow S - \{m\}$$



Details: Reader-Writer: Send and Recv Code

```
Output send(\langle W, v, t, cm, ni, nj \rangle)_{i,j}
                                                        Send
Precondition:
    status = active
    j \in world
    \langle W, v, t, cm, ni, nj \rangle =
       \langle world, value, tag, cmap, phase-num(i), phase-num(j) \rangle
Effect:
                          Input recv(\langle W, v, t, cm, nj, ni \rangle)_{i,i}
    none
                           Effect:
                               if status \neq idle then
                                                                                      Receive
                                 status \leftarrow active
                                 world \leftarrow world \cup W
                                 if t > taq then (value, taq) \leftarrow (v, t)
                                 cmap \leftarrow update(cmap, cm)
                                 phase-num(j) \leftarrow \max(phase-num(j), nj)
 Specification of
                                 if op.phase \in \{query, prop\} and ni \geq op.phase-num then
 gossip using
                                     op.cmap \leftarrow extend(op.cmap, truncate(cm))
 Input/Output
                                     if op.cmap \in Truncated then op.acc \leftarrow op.acc \cup \{j\}
 Automata of
                                     else op.acc \leftarrow \emptyset
 [Lynch Tuttle]
                                           op.cmap \leftarrow truncate(cmap)
```

if $gc.phase \in \{query, prop\}$ and $ni \geq gc.phase-num$ then $gc.acc \leftarrow gc.acc \cup \{j\}$



Details: Reader-Writer Fixed Points

```
Internal query-fix,
Precondition:
    status = active
    op.type \in \{read, write\}
    op.phase = query
    \forall k \in \mathbb{N}, c \in C : (op.cmap(k) = c)
         \Rightarrow (\exists R \in read\text{-}quorums(c) : R \subseteq op.acc)
Effect:
    if op.type = read then
         op.value \leftarrow value
    else
         value \leftarrow op.value
         tag \leftarrow \langle tag.seq + 1, i \rangle
    pnum-local \leftarrow pnum-local + 1
    op.pnum \leftarrow pnum-local
    op.phase \leftarrow prop
    op.cmap \leftarrow cmap
    op.acc \leftarrow \emptyset
```

Specification of fixed points using Input/
Output Automata

Phase 1 fixed point

Phase 2 fixed point

```
Internal prop-fix_i
Precondition:
status = active
op.type \in \{read, write\}
op.phase = prop
\forall k \in \mathbb{N}, c \in C : (op.cmap(k) = c)
\Rightarrow (\exists W \in write\text{-}quorums(c) : W \subseteq op.acc)
Effect:
op.phase = done
```



Some Latency Analysis Results

- Restrict attention to a subset of timed executions
 - Reminder: Read and write operations are <u>not</u> affected by Recon delays or Recon non-termination
- Configuration upgrade (garbage collection) takes 4d
- If reconfigurations are "rare" -- operations take 4d
- □ If configurations are in "steady state" -- operations take 8d
- Logarithmic in number of configurations time "catch-up" after a burst of "bad timing behavior"
 - A recovering node joins quickly after a long absence

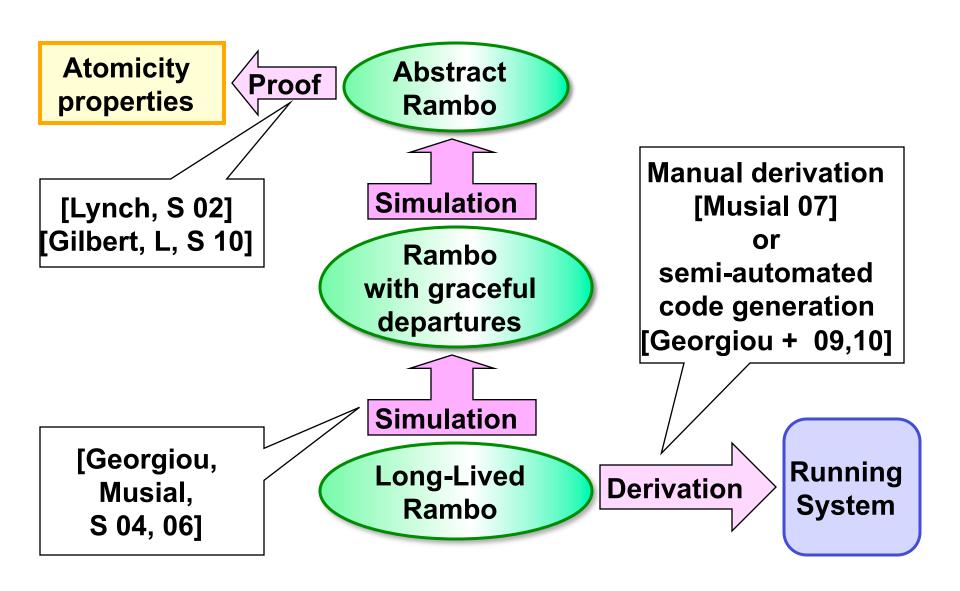


Implementation

- Experimental system implementations [Musial 07]
 - Platform for refinement, optimization, tuning
 - Observe of algorithms in a local area setting
 - Cluster with 16+/- Linux machines & fast switch
- Developed by manually translating the Input/Output Automata specification to Java code
 - Precise rules are followed to mitigate error introduction during translation
 - Rigorous proofs [Georgiou, Musial, S., Sonderegger 07, 11]
- Next steps:
 - Specification in Tempo [Lynch Michel S 08] (Timed IOA)
 - Code generation ([Georgiou Lynch Mavrommatis Tauber 09])



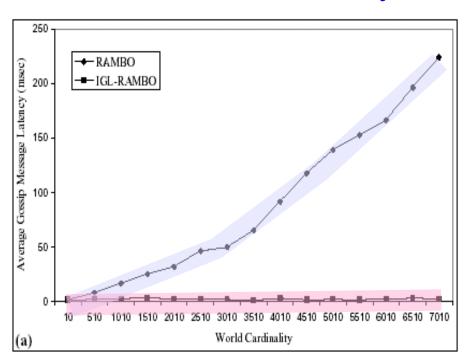
Optimization and Development Methodology

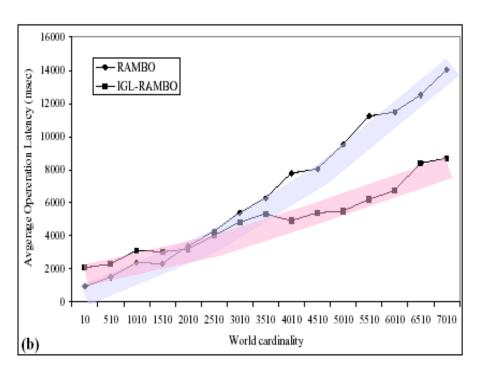




Optimization: Improving performance

- □ Long-Lived RAMBO: Graceful Leave + Incremental Gossip
 - Rigorous proof of correctness by simulation
 - Performance study



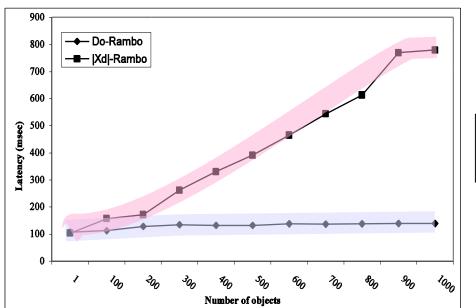


[Georgiou, Musial, S. 06]



Complete Shared Memory

- Atomicity is compositional
 - Implement a single memory location
 - Get a complete shared memory by running several implementations: correct, but very slow!
- Domain-oriented reconfigurable atomic memory
 - Optimizing performance for groups of related objects



[Georgiou, Musial, S. 2009]

- Composition

- Domain



Federated Array of Bricks (FAB)

- Storage system developed and evaluated at HP Labs
 - [Saito Frølund Veitch Merchant Spence 05]
- Distributes workload and handles failures and recoveries without disturbing client requests
- Read or write protocol involves majority quorums of storage "bricks" following the Rambo algorithm
- Evaluations of the implementation showed
 - FAB performance is similar to centralized solutions,
 - While offering at the same time continuous service and high availability



Additional Solutions

- □ Atila: Atomicity Through Indirect Learning Algorithm
 - Indirect learning enables progress without routing or complete connectivity [Konwar, Musial, Nicolaou, S. 07]
- □ RDS [Chockler, Gilbert, Gramoli, Musial, S. 09]
 - Reconfigurable Distributed Storage: Rambo ⊕ Paxos
 - Integrate configuration upgrade with installation
 - Obsolete configuration are removed quicker
- DynaStore: Reconfiguration without consensus [Aguilera, Keidar, Malkhi, Shraer 11]
 - Initial quorum system, incremental adds/removes
 - Changes yield DAGs of possibilities
 - Reads/writes use ABD-like phases, traverse DAGs
 - Termination: assumes finite reconfigurations



DynaDisk Implementation

- Data-center read/write storage system
 - Allows add/remove of storage devices on-the-fly
 - Based on DynaStore, but with and without consensus
 - [Shraer Martin Malkhi Keidar 10]

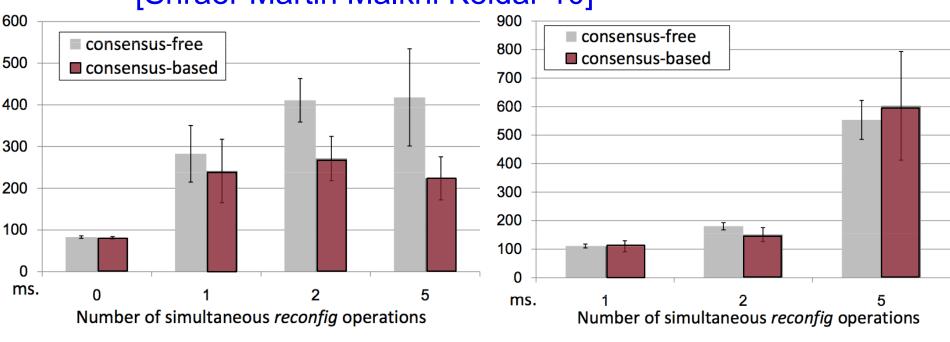


Figure 1: Average write latency.

Figure 2: Average reconfig latency.



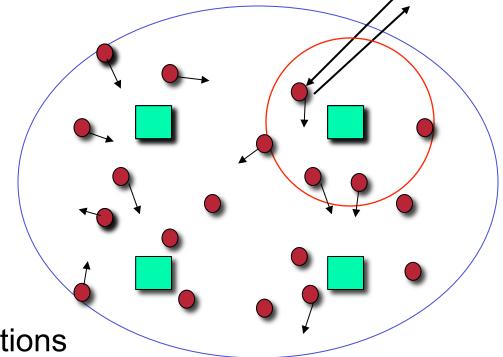
GeoQuorums

- Dynamic atomic read/write memory for mobile settings
 - [Dolev, Gilbert, Lynch, S., Welch 04, 05]
 - Use Rambo architecture over Virtual Node layer
- Nodes: fixed geographical locations called Focal Points
 - Centers of populated, compact geographical areas:
 - Traffic intersections, buildings, bridges, points-of-interest
 - Continuously populated, thus able to maintain state
- Implementations:
 - Virtual Node layer over the physical mobile network
 - Atomic read/write memory over the Virtual Node layer



GeoQuorums

- Mobile nodes
- Focal points implemented as Virtual Nodes
- Quorums are defined over focal points
- Use GPS as timestamps
- □ Fast(er) read/write operations
 - Single phase writes two exchanges
 - One or two phase reads two or four exchanges
- Simplified, consensus-free, reconfiguration
 - Two-phase algorithm using fixed configurations
 - Can be motivated by performance: e.g., if writes are frequent, install smaller write quorums





Closing Remarks: Read-Modify-Write

- RMW is strictly stronger than atomic read/write object
- Some storage systems implement atomic RMW operations
 - Expensive, and requires at its core atomic updates
- Examples
 - Reduce parts of the system to a single-writer model
 - e.g., Microsoft's Azure
 - Depend on clock synchronization hardware
 - Google's Spanner
 - Rely on complex mechanisms for resolving event ordering such as vector clocks
 - Amazon's Dynamo



Thank You!

Questions and Discussion

